



HON. COMMISSIONER OF PATENT & TRADEMARKS  
WASHINGTON, D.C. 20231

SIR:

PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO  
ACKNOWLEDGE RECEIPT OF THE ATTACHED:

Transmittal Form, Request for Refund;  
Verified Statement Claiming Small Entity  
Status - Small Business Concern

Richard K. Bolen

APPLICANT

TYPE OF DOCUMENT(S):

November 19, 2001

072395.00003

MAILING DATE

REFERENCE NUMBER

HOLLAND & KNIGHT LLP



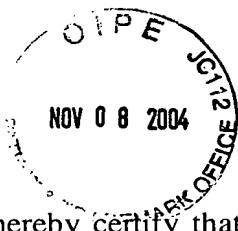
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail in an envelope addressed to:

Assistant Commissioner for Patents  
Attention: Refund Section  
Financial Accounting Division  
Office of Finance  
Washington, D.C. 20231

Date: November 19, 2001

*James L. Parent*



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail on November 3, 2004 in an envelope addressed to:

**Box Mail Stop Issue Fee  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

  
Attorney for Applicant



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

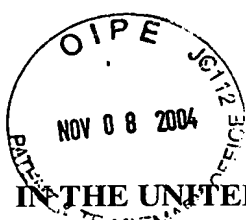
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/954,771	
	Filing Date	September 18, 2001	
	First Named Inventor	Bolen, Richard K.	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	4	Attorney Docket Number	072395.00003

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Verified Small Entity Statement Small Business Concern
Remarks  Verified Small Entity Statement Small Business Concern		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Todd S. Parkhurst, Holland & Knight LLP 55 West Monroe Street, Chicago, IL 60603
Signature	
Date	November 19, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Nov. 19, 2001			
Typed or printed name	Todd S. Parkhurst	(26,494)	
Signature		Date	Nov. 19, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Richard K. Bolen                      **Atty. Docket No.** 072395.00003  
**Serial No.:** 09/ 954,771                      **Group Art Unit:** 3637  
**Filed:** September 18, 2001                      **Examiner:** Gerald A. Anderson  
**Invention:** SECURE MERCHANDISE VENDING MACHINE

Mail Stop Issue Fee  
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

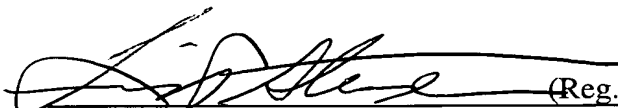
**S I R:**

The Notice of Allowance in this application noted the final issue fee to be \$1,370.00, the amount due if Applicant were a large entity. Applicant is a small entity and filed certified documents establishing that status on November 19, 2001. The receipt post card regarding that submission was received back on January 10, 2002.

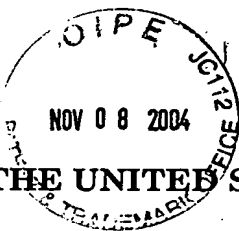
In view of the possibility of inadvertent handling of the documents following September 11, 2001, Applicant submits herewith copies of the documents submitted to establish small entity status, and submits the proper fees based on that status.

Respectfully submitted,

Date: November 3, 2004

 (Reg. #17,074)

Lewis T. Steadman  
**HOLLAND & KNIGHT LLP**  
131 South Dearborn Street, 30<sup>th</sup> Floor  
Chicago, Illinois 60603  
Tel: (312) 578-6502  
**Attorney for Applicant**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard K. Bolen Atty. Docket No. 072395.00003  
Serial No.: 09/954,771  
Filed: September 18, 2001  
Invention: Secure Merchandise-Vending Machine

**REQUEST FOR REFUND**

Attn: Refund Section  
Financial Accounting Division  
Office of Finance  
Commissioner for Patents  
Washington, D.C. 20231

Commissioner:

Pursuant to Rule 37 C.F.R. §§1.26, 1.27 and 1.28(a), Applicant hereby requests a refund for overpayment of filing fee due to entity status. Applicant filed the above-identified application on September 18, 2001 along with the filing fee in the amount of \$710.00. Applicant hereby requests a refund in the amount of \$355.00. A copy of Applicant's Verified Statement Claiming Small Entity Status is enclosed. Please mail a check for amount specified to the undersigned.

Respectfully submitted,

Date: 11/19/01

Todd S. Parkhurst (Reg. #26,494)  
Todd S. Parkhurst  
HOLLAND & KNIGHT, LLP  
55 West Monroe Street  
Suite 800  
Chicago, Illinois 60603  
Tel: (312) 263-3600  
Attorney for Applicant